

Demo Company Limited

Compliance

ISO/IEC 27001:2013

Issue 1	A.18.1.1 Identification of applicable legislation and contractual requirements A.18.1.2 Intellectual property rights A.18.1.3 Protection of records A.18.1.4 Privacy and protection of personally identifiable information A.18.1.5 Regulation of cryptographic controls A.18.2.1 Independent review of information security A.18.2.2 Compliance with security policies and standards A.18.2.3 Technical compliance review.	Authorised By:- Directors of Demo Company Limited
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Scope

This controlled process defines information security controls applied for identification of applicable legislation and contractual requirements, intellectual property rights, protection of records, privacy, and protection of personally identifiable information. regulation of cryptographic controls independent review of information security, compliance with security policies, standards and technical compliance review

Responsibility and Authority

The appointed information security representatives are responsible for the overall management of this process including its correct implementation and regular review.

Control and Distribution

This process is issued as a controlled document and can only be updated by the authorised information management representative and must include a revision status and traceability of the change process.

A master is retained as part of the information security management system with uncontrolled copies issued at point of use.

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The Process

A.18.1.1 Identification of applicable legislation and contractual requirements

The organisation has generated a documented **legal register** which documents legal and contractual requirements relevant to its business operations including its methods of **verifying compliance**. This also addresses requirements for different jurisdictions.

A.18.1.2 Intellectual property rights

The legal register also addresses IPR and proprietary software and seeks to ensure protection of copyright, avoidance of breaches, asset register includes IP including ownership rights, reviews to ensure authorised software and number of licences are installed, protection from copyright infringements, Examples of such property includes software, source code, patents, design rights and trademarks.

A.18.1.3 Protection of records

The organisation has implemented an asset classification procedure which is used to identified how records are protected and details guidelines for the life cycle controls applied from creation to final disposal. **These consider both business and legal requirements.**

A.18.1.4 Privacy and protection of personally identifiable information

The organisation follows legal requirements as listed within the **legal register which includes local data protection requirements**. This includes a data protection policy to ensure all processors of personal information are aware of their responsibilities including reporting requirements should incidents occur. There are also controls implemented detailed within the information security

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incident management policy to identify and address weaknesses and incidents that may compromise personal information.

A.18.1.5 Regulation of cryptographic controls

The organisation has a documented cryptographic policy which defines its use of encryption.

Compliance requirements are dependent on local requirements and where appropriate are detailed in the **legal register**. **Legal advice is taken prior to transferring encrypted information across jurisdictional borders where regulations are unknown or unfamiliar.**

A.18.2.1 Independent review of information security

As required by regulatory bodies the organisation commissions an independent audit annually which reviews and tests policies, procedures and controls implemented to address requirements of ISO 27001 Annex A. The audit reports detail conformance and non-conformance against requirements using objective evidence and sampling to ensure testing is carried out against recognised auditing codes of practice. This includes raising of opportunities for improvement and where appropriate corrective actions are implemented by the organisation.

A.18.2.2 Compliance with security policies and standards

Independent internal audits review the compliance of the information security management system and the results are reported to the organisations management team as detailed in 18.2.1 above.

The organisation has also implemented event logging as required in 12.4.1 which monitors activities of users and administrators including reports and alerts to evidence actions.

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A.18.2.3 Technical compliance review

As detailed in 18.2.1 the organisation undertakes regular independent audits which include the assessment of technical reviews performed by the organisation and its third-party providers. Where appropriate this includes its penetration and vulnerability testing results and actions. This includes controls applied in technical vulnerability management. Planning and testing of business continuity management plans have been implemented to ensure that information security is not compromised in the event of adverse conditions.